

FORMALITIES LETTER



OC00000005042401

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/498,369 | 02/04/2000 | Victor H. Shear | 7451.10010-01000 |

Finnegan Henderson
Farabow Garrett & Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

Date Mailed: 04/11/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/14/2000 NLJANG 00000006 09498369
 01 FC:105 130.00 DP



SECTOR \$

PATENT
Atty. Dkt. No.: 7451.0010-01
InterTrust Ref. No.: IT-14.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Victor H. Shear, et al.)
Serial No.: 09/498,369) Group Art Unit: 2768
Filed: February 4, 2000) Examiner: Unassigned
Filed: SYSTEMS AND METHODS FOR MATCHING,)
SELECTING, NARROWCASTING AND/OR)
CLASSIFYING BASED ON RIGHTS)
MANAGEMENT AND/OR OTHER INFORMATION)

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: **BOX MISSING PARTS**

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

In response to the communication of April 11, 2000, Applicants submit a Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, and a copy of the Notice of Missing Parts. Please associate the enclosed declaration with the above identified application.

The Declaration enclosed herewith is a copy of the Declaration filed with parent application Serial No. 08/965,185, filed November 6, 1997. One of the inventors has since moved and the address on the Declaration is incorrect. The new address is as follows:

David M. Van Wie: 1780 East 25th Avenue, Eugene Oregon 97403

Residence Address: Eugene, OR

Filed concurrently herewith is a Revocation of Original Power of Attorney and Grant of New Power of Attorney whereby the Assignee hereby revokes all powers of attorney previously given and appoints Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. as attorneys of record.

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.



Dated: June 8, 2000

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: *Linda J. Thayer*
Linda J. Thayer
Reg. No. 45,681

LAW OFFICES

FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L.L.P.
1300 I STREET, N.W.
WASHINGTON, DC 20005
202-408-4000



PATENT
Atty. Dkt. No.: 7451.0010-01
InterTrust Ref. No.: IT-14.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Victor H. Shear, et al.)
)
Serial No.: 09/498,369) Group Art Unit: 2768
)
Filed: February 4, 2000) Examiner: Unassigned
)
For: SYSTEMS AND METHODS FOR)
MATCHING, SELECTING,)
NARROWCASTING AND/OR)
CLASSIFYING BASED ON RIGHTS)
AND/OR OTHER INFORMATION)

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Enclosed is a copy of an Official Filing Receipt that was received from the U.S. Patent and Trademark Office. The Receipt has been reviewed and one or more errors have been found as follows:

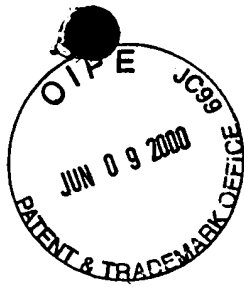
Please include the word "**Narrowcasting**," in the title as noted below:

SYSTEMS AND METHODS FOR MATCHING, SELECTING,
NARROWCASTING, AND/OR CLASSIFYING BASED ON RIGHTS
MANAGEMENT AND/OR OTHER INFORMATION

Also, please correct the Attorney Docket Number to read "**07451.0010-01000**".

A copy of the Filing Receipt with the requested changes in red is attached.

It is respectfully requested that a Corrected Official Filing Receipt be issued as soon as possible.



PATENT
Atty. Dkt. No.: 7451.0010-01
InterTrust Ref. No.: IT-14.1 (US)

Since the error is on the part of the Patent Office, no fee is required.

However, if it is determined that any fees are due in connection with the filing of this Request, please charge such fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

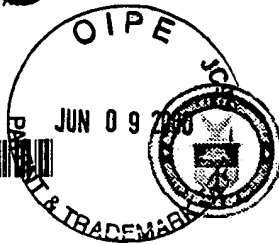
Dated: June 8, 2000

By: *Linda J. Thayer*
Linda J. Thayer
Reg. No.: 45,681

FILING RECEIPT



OC00000005042400

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

CPI-27

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|--|----------|------------|------------|
| 09/498,369 | 02/04/2000 | 2768 | 1872 | 7451-0010-01000-96 07451.0010-01000 | ✓ 96 | 51 | 11 |

Finnegan Henderson
Farabow Garrett & Dunner LLP
1300 I Street N W
Washington, DC 20005-3315

Date Mailed: 04/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Victor H. Shear, Residence Not Provided;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/965,185 11/06/1997

Foreign Applications

If Required, Foreign Filing License Granted 04/11/2000

**

Title

Systems and methods for matching, selecting, and/or classifying based on rights management and/or other information

Preliminary Class

705

Data entry by : DIXON, DOROTHY

Team : OIPE

Date: 04/11/2000

RECEIVED

narrowcasting 9/14/2000

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP

DK 12
4-14-00



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231